

United States Department of Agriculture
Animal and Plant Health Inspection Service
Plant Protection & Quarantine
4700 River Road
Riverdale, MD 20737

Permit to Move Live Plant Pests, Noxious Weeds, and Soil
Continued Curation
Regulated by 7 CFR 330

This permit was generated electronically via the ePermits system

PERMITTEE NAME:	Erika Rohr Luke	PERMIT NUMBER:	P526P-18-04586
ORGANIZATION:	Marrone Bio Innovations	APPLICATION NUMBER:	P526-180831-012
ADDRESS:	1490 and 1530 Drew Ave Davis, CA 95618	FACILITY NUMBER:	3576
MAILING ADDRESS:	1540 Drew Ave Davis, CA 95618	HAND CARRY:	No
PHONE:	530-750-2800	DATE ISSUED:	12/18/2018
FAX:		DATE AMENDED:	12/18/2019
DESTINATION:	1540 Drew Ave, Davis, CA 95618	EXPIRES:	12/18/2021

Under the conditions specified, this permit authorizes the following:

<u>Regulated Article</u>	<u>Life Stage(s)</u>	<u>Intended Use</u>	<u>Originally Collected</u>	<u>Culture Designation</u>
Burkholderia rinojensis	Any	Research - Lab	Originally Collected from Foreign Locations	NRRL B-50319

PERMIT GUIDANCE

This permit does not authorize movement or release into the environment of genetically engineered organisms produced with the regulated organisms described in this permit. Importation, interstate movement, and environmental release of genetically engineered plant pests require a different permit issued under regulations at 7 CFR part 340. Any unauthorized interstate movement or environmental release, including accidental release, of a regulated GE organism would be a violation of those regulations. Additional guidance and contact information for APHIS Biotechnology Regulatory Services, can be found at: <https://www.aphis.usda.gov/aphis/ourfocus/biotechnology>.

This permit does not fulfill the requirements of other federal or state regulatory authorities. As appropriate, please contact the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, the APHIS Biotechnology Regulatory Services, or your State's Department of Agriculture to ensure proper permitting.

If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment

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THIS PERMIT HAS BEEN APPROVED ELECTRONICALLY BY THE FOLLOWING
PPQ HEADQUARTER OFFICIAL VIA EPERMITS.



Osmond Baron

DATE

12/18/2019

WARNING: Any alteration, forgery or unauthorized use of this Federal Form is subject to civil penalties of up to \$250,000 (7 U.S.C.s 7734(b)) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C.s 1001)


facilities may take a longer period of time to process.

PERMIT CONDITIONS

USDA-APHIS issues this permit to Erika Rohr Luke of Marrone Bio Innovations, 1490 and 1530 Drew Ave, Davis, CA 95618. This permit authorizes the continued curation and laboratory use of *Burkholderia rinojensis*, received under prior permit from Japan, by Erika Rohr Luke of Marrone Bio Innovations, for research within Containment Facility #3576 (CF#3576).

1. • This permit is issued by the United States Department of Agriculture's Animal and Plant Health Inspection Service (APHIS). It conveys APHIS regulations and requirements for the material(s) listed on this permit. It does not reduce or eliminate your legal duty and responsibility to comply with all other applicable Federal and State regulatory requirements.
 - You must be an individual at least 18 years old, or legal entity such as partnership, corporation, association, or joint venture.
 - You are legally responsible for complying with all permit requirements and permit conditions.
 - If you violate any applicable laws associated with this permit, you may face substantial civil or criminal penalties. We may cancel all current permits and deny future permit applications.
 - Without prior notice and during reasonable hours, authorized Federal and State Regulators must be allowed to inspect the conditions associated with the regulated materials/organisms authorized under this permit.
2. The permit holder must:
 - maintain a valid PPQ526 permit so long as the regulated materials/organisms are alive or viable,
 - not assign or transfer this permit to other persons without APHIS PPQ authorization,
 - maintain an official permanent work assignment, residence, or affiliation at the address on this permit,
 - notify the Pest Permit Staff as soon as possible of any change in the permit holder's work assignment, residence, or affiliation,
 - notify the Pest Permit Staff of the receipt of unauthorized and/or misdirected shipments of regulated materials/organisms,

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- adequately mitigate environmental impacts resulting from unauthorized release of regulated materials/organisms and notify the Pest Permit staff immediately if one occurs,
- notify the Pest Permit Staff if the facility is damaged/destroyed or if you wish to decommission the facility,
- destroy all regulated materials/organisms prior to departure from the organization unless other arrangements are confirmed by the Pest Permit Staff.


Notifications to the Pest Permit Staff must be made via 866-524-5421 or pest.permits@aphis.usda.gov within one business day of the event triggering a notification.

3. All persons working with these organisms must be informed of these permit conditions. Anyone working with these organisms must agree to and sign/ initial these conditions before beginning work. These signed conditions do not need to be submitted to USDA/ APHIS but must be readily accessible in the event of an inspection and presented upon request.

Note: these conditions may be copied and stored electronically for electronic signature and initialing provided that the permit number, authorized organisms and life stages, release locations if applicable, and authorization statement all appear on the document with the permit number. Signing these conditions only indicates that the person working under this permit has read them; the permit holder is the sole responsible party under this permit.

4. All operations must be consistent with information submitted in association with the above listed APHIS-designated facility number.
5. No new shipments of the regulated articles are allowed.
6. All research activities must occur in the permit holder's laboratory facilities within CF#3576 that have been inspected and found adequate for containment of the organisms received under this permit. Access to laboratory facilities must be restricted to authorized personnel.
7. Upon completion of research, all organisms not retained for future use must be destroyed by heat or chemical treatment prior to disposal. All glassware and other materials used to conduct research must be decontaminated prior to reuse or disposal.
8. There is to be no further movement or distribution of organisms without prior approval from State and Federal regulatory officials.

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9. Under the Plant Protection Act, individuals or corporations who fail to comply with these conditions and authorizations, or who forge, counterfeit, or deface permits or shipping labels may receive civil or criminal penalties, and may have all current permits cancelled and future permit applications denied.

END OF PERMIT CONDITIONS

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